

COVER SHEET

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S.E.C. Registration Number

F	I	R	S	T	M	E	T	R	O	I	N	V	E	S	T	M	E	N	T	C	O	R	P	O	R	A	
T	I	O	N																								

(Company's Full Name)

4	5	T	H		F	L	O	O	R	G	T				T	O	W	E	R	I	N	T	E	R	N	A	T	I	O	
N	A	L			A	Y	A	L	A	A	V	E	.		C	O	R	.		H	V				D	E	L	A	C	O
S	T	A			S	T	.			M	A	K	A	T	I	C	I	T	Y											

(Business Address: No. Street City / Town Province)

JOSE PATRICIO A. DURLAO

Contact Person

8	8	5	8	-	7	9	0	0		
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Company Telephone Number

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">1</td><td style="width: 20px; text-align: center;">2</td> </tr> </table> <p style="text-align: center;">Month</p>	1	2	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">3</td><td style="width: 20px; text-align: center;">1</td> </tr> </table> <p style="text-align: center;">Day</p>	3	1
1	2				
3	1				

Fiscal Year

PROGRESS REPORT

Form Type

Not later than last week of
April

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px;"></td><td style="width: 20px;"></td> </tr> </table> <p style="text-align: center;">Month</p>			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20px;"></td><td style="width: 20px;"></td> </tr> </table> <p style="text-align: center;">Day</p>		

Annual Meeting

IHU - GSED

Secondary License type, if applicable

M	R	D	
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Dept. Requiring this Doc.

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Amended Articles Number/Section

1,376

Total No. of Stockholders

Total amount of Borrowings

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 100%; height: 20px;"></td> </tr> </table> <p style="text-align: center;">Domestic</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 100%; height: 20px;"></td> </tr> </table> <p style="text-align: center;">Foreign</p>	

To be Accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

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Remarks = Pls. use black ink for scanning purposes.

REPUBLIC OF THE PHILIPPINES
OFFICE OF THE PRESIDENT
SECURITIES AND EXCHANGE COMMISSION

Submission: In Duplicate
Deadline: Within forty-five (45)
days from the end of
each quarter

FIRST METRO INVESTMENT CORPORATION
(Name of Investment House)

45th Floor, G.T. Tower International, Ayala Ave. corner H.V. dela Costa Street, Makati City
(Address)

PROGRESS REPORT ON THE RESULTS OF UNDERWRITING OPERATIONS & ACTIVITIES
For the quarter ended June 30, 2023
(Pursuant to Section 9-A of PD. 129, as Amended, otherwise known as " The Investment House Law ")

A. UNDERWRITING: (issues other than commercial papers)

1.1 Debt Issues

Name of Issuer	Nature of Commitment	Type of Placement	Amount of Commitment	Fees Earned
SM Prime Holdings, Inc.	Firm	Public	4,500,000,000	12,630,655
Robinsons Land Corporation	Firm	Public	3,000,000,000	9,310,176
				<u>21,940,831</u>

1.2 Equity Issues

Name of Issuer	Nature of Commitment	Type of Placement	Amount of Commitment	Fees Earned
Upson International Corporation	Firm	Public	1,800,000,000	27,001,956
Ayala Land Inc.	Firm	Public	3,200,000,000	6,793,916
				<u>33,795,872</u>

1.3 Investments

Name of Issuer	Nature of Commitment	Type of Placement	Amount of Commitment	Fees Earned
No Transaction				

John A. for #
pl

B. PORTFOLIO MANAGEMENT SERVICES

Nature of Account	Type & No of Clients	Amount of Portfolio	Fees Earned
NONE	N/A	N/A	N/A

C. GOVERNMENT SECURITIES DEALERSHIP

2.1 Outright Sales

Type of Security	Volume/Value
Local Securities	60,264,826,894

2.2 Outright Purchases

Type of Security	Volume/Value
Local Securities	60,264,826,894

D. FINANCIAL ADVISORY & CONSULTANCY SERVICES:

Nature of Services	Client	Fees Earned
No Transaction		

E. LOAN PACKAGING/SYNDICATION

Nature of Services	Client	Fees Earned
Syndicated Account		
NONE	N/A	N/A

F. SELLING AGENCY ARRANGEMENTS (Distribution of purely Commercial Papers and to be filled out by IHs without QB only)

Commercial Paper Serviced/Arranged:

Name of Issuer	Type of CP	Serial No. of CP	Issue Date	Maturity Date	End of Quarter Outstanding Balance
NONE	N/A	N/A	N/A	N/A	N/A

John P. Jones
pl

Commercial Papers Held In Inventory:

1. Clean
 a. Short Term
 b. Long Term

Name of Issuer	Type of CP	Serial No. of CP	Issue Date	Maturity Date	End of Quarter Outstanding Balance
NONE	N/A	N/A	N/A	N/A	N/A

2. Secured
 a. Short Term
 b. Long Term

Name of Issuer	Type of CP	Serial No. of CP	Issue Date	Maturity Date	End of Quarter Outstanding Balance
NONE	N/A	N/A	N/A	N/A	N/A

G. LOAN PORTFOLIO

Type	Total Granted for the Quarter	End of Quarter Outstanding Balance (Gross)
1. Loan to Employee	3,384,200	5,282,919
2. Direct Loans	No Transaction	No Transaction
3. Other (pls. specify)	No Transaction	No Transaction

H. OTHERS (Please specify)

Nature of Services Syndicated Account	Client	Fees Earned
Arranger	Toyota Financial Services Philippines Corporation	15,759,737
Issue Manager	Upson International Corporation	22,105,263
		<u>37,865,000</u>

1. CP Brokering (applicable to IHs without QB) 919,238
 2. CPs of other Issuers Negotiated/Assigned otherwise transferred. No transaction

- 2.1 Without Recourse
 a. Short Term
 b. Long Term

Name of Issuer	Type of CP	Serial No. of CP	Issue Date	Maturity Date	End of Quarter Outstanding Balance
NONE	N/A	N/A	N/A	N/A	N/A

- 2.2 With Recourse
 a. Short Term
 b. Long Term

NONE	N/A	N/A	N/A	N/A	N/A
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John P. Fernandez
pl

I HEREBY CERTIFY that all information set forth in this report are true and correct to my own knowledge.



JOSE PATRICIO A. DURLAO
PRESIDENT

(Signature Over Printed Name)
Authorized Signatory *hln*

hln

Q A I H

REPUBLIC OF THE PHILIPPINES) S.S.

CITY OF MANILA

I, JOSE PATRICIO A. DURLAO of the above mentioned corporation, do solemnly swear that all matters set forth in this report are true and correct to the best of my knowledge and belief.

FIRST METRO INVESTMENT CORPORATION

(Name of Corporation)

By:



JOSE PATRICIO A. DURLAO *hln*
PRESIDENT

REPUBLIC OF THE PHILIPPINES
IN THE CITY CITY OF MANILA S.S

AUG 14 2023

SUBSCRIBED AND SWORN to before me this ___ day of ___, 20___, affiant exhibiting to me his Passport No. P3214283B issued in DFA South on September 15, 2019.

Doc. No. 106
Page No. 23
Book No. XVI
Series of 20 23

ATTY. HENDRIK DASA

NOTARY PUBLIC - CITY OF MANILA
APPOINTMENT 097/12/31/2023 MANILA

IBP NO. 101130 / 01/03/2023

PRN. 0361145 / 01/03/2023

ROLL NO. 29979, TIN NO. 172-528-620

VEHICLE COMP. NO. VII-0000165 VALID UNTIL APRIL 14, 2021

(14)