

COVER SHEET

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S.E.C. Registration Number

F	I	R	S	T	M	E	T	R	O	I	N	V	E	S	T	M	E	N	T	C	O	R	P	O	R	A	
T	I	O	N																								

(Company's Full Name)

4	5	T	H		F	L	O	O	R	G	T		T	O	W	E	R	I	N	T	E	R	N	A	T	I	O	
N	A	L		A	Y	A	L	A		A	V	E		C	O		R		H	V		D	E	L	A	C	O	
S	T	A		S	T					M	A	K	A	T	I	C	I	T	Y									

(Business Address: No. Street City / Town Province)

JOSE PATRICIO A. Dumlao

Contact Person

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Company Telephone Number

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1	2				
3	1				

Fiscal Year

Q 2 - 3 - 0 - 1

Form Type

Not later than last week of
April

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Annual Meeting

IHU - GSED

Secondary License type, if applicable

M	R	D	
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Dept. Requiring this Doc.

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Amended Articles Number/Section

1,375

Total No. of Stockholders

Total amount of Borrowings

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 100%; height: 20px;"></td> </tr> </table> <p style="text-align: center;">Domestic</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 100%; height: 20px;"></td> </tr> </table> <p style="text-align: center;">Foreign</p>	

To be Accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

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Remarks = Pls. use black ink for scanning purposes.

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QUARTERLY REPORT
For The Quarter Ended June 30, 2020

FIRST METRO INVESTMENT CORPORATION
(Name of Financial Intermediary)

INVESTMENT HOUSE / 8858-7900
(Business & Telephone No.)

I. QUARTERLY BUSINESS PROFILE :

- a) Change in composition of the Board / Principal Officers as indicated in Information Statement.

See Attached

- b) Change in the top 20 stockholders.

See Attached

- c) Subsidiaries / Affiliates Relationship or New Holdings.

See Attached

- d) Change in the stockholdings of directors.

See Attached

II. QUARTERLY FINANCIAL PROFILE

See Attached

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FIRST METRO INVESTMENT CORPORATION
LIST OF DIRECTORS
AS OF JUNE 30, 2020

<u>N A M E</u>	<u>P O S I T I O N</u>	<u>N A T I O N A L I T Y</u>	<u>T I N</u>	<u>A D D R E S S</u>
Francisco C. Sebastian	Chairman	Filipino	163-762-954	454 Maria Cristina St., Ayala Alabang Village, Muntinlupa City
Mary Mylene A. Caparas	Vice Chairman	Filipino	106-968-584	Unit 1101 Parc Regeant Condominium, HV Dela Costa St., Salcedo Village, Makati City
Jose Patricio A. Dumlao	Director/ President	Filipino	107-470-277	33-A Easton Place Condominium, 118 Valero Street, Salcedo Village, Makati
Martin Q. Dy Buncio	Director	Filipino	105-720-955	626 Calderon St., Mandaluyong City
Ismael G. Cruz	Director	Filipino	105-349-639	607 One Salcedo Place, Jaime Velasquez St. cor. Alfaro St., Salcedo Village, Makati City
Joshua E. Naing	Director	Filipino	121-523-967	59 Tanguile St., Saint Anthony Subdivision, Taytay, Rizal
Francisco S. Magsajo, Jr.	Independent Director	Filipino	109-927-279	16 Kalayaan Street, Kawilihan Village, Pasig City
Raphael Perpetuo M. Lotilla	Independent Director	Filipino	110-843-846	23 St. Andrew Street, Metroheights Subdivision, Culiati, Quezon City
Rufino Luis T. Manotok	Independent Director	Filipino	132-204-750	133 Rizal St., Ayala Southvale Village, Bacoor, Cavite

Certified Correct:


ALEXANDRA T. TY
Corporate Secretary / Treasurer





FIRST METRO INVESTMENT CORPORATION
LIST OF PRINCIPAL OFFICERS
AS OF JUNE 30, 2020

<u>N A M E</u>	<u>P O S I T I O N</u>	<u>N A T I O N A L I T Y</u>	<u>T E L E P H O N E N U M B E R</u>	<u>A D D R E S S</u>
Francisco C. Sebastian	Chairman	Filipino	163-762-954	454 Maria Cristina St., Ayala Alabang Village, Muntinlupa City
Mary Mylene A. Caparas	Vice-Chairman	Filipino	106-968-584	Unit 1101 Parc Regeant Condominium, HV Dela Costa St., Salcedo Village, Makati City
Jose Patricio A. Dumlao	President	Filipino	107-470-277	33-A Easton Place Condominium, 118 Valero Street, Salcedo Village, Makati
Daniel D. Camacho	Executive Vice President / Investment Banking Group Head	Filipino & Chinese	150-300-306	11J San Lorenzo Tower, The Residences at Greenbelt, Pasay Road, Makati City
Karen Liza M. Roa	Senior Vice President / Seconded as First Metro Asset Management, Inc.'s President	Filipino	188-641-334	26 Canterbury St. Hillsborough Alabang Village, Muntinlupa City
Peter Anthony D. Bautista	First Vice President / Sales & Distribution Division Head	Filipino	184-854-876	3838E Mascardo St., Makati City
Maria Teresa V. De Vera	First Vice President / Coverage and Origination III Division Head	Filipino	162-071-160	3 Sta. Elvira St. Barrio Kapitolyo, Pasig City
Maricel L. Madrid	First Vice President / Controllership and Planning Group Head	Filipino	206-503-370	302 B La Fayette Chateau Elysee, Doña Soledad Extension, Don Bosco, Parañaque City
Abigail B. Magpayo	First Vice President / Equity Capital Market and Corporate Finance & Advisory Division Head	Filipino	213-431-299	23-B Hernandez St., BF Homes, Parañaque City
Nimfa B. Pastrana	First Vice President / Asst. Corp. Secretary/Corporate Services and Operations Group Head / Concurrent Legal and Human Resource Division Head	Filipino	112-145-219	18 Doña Francesca St., Filinvest Homes, East Cainta, Rizal
Charles Ian Salvador	First Vice President / International Desk Division Head	Filipino & American	702-344-771	17 Eisenhower St. Apt. 19C North Greenhills, San Juan, Manila
Cristina S. Ulang	First Vice President / Research Department Head	Filipino	102-034-247	69 Coronado St. Barangay Hulo, Mandaluyong City
Annagraziela S. Banaad	Vice President / Debt & Equity Securities Trading Division Head	Filipino	159-591-012	6 St. John St., Brgy. Kaunlaran, Quezon City
David Ignacio C. Estacio	Vice President / Local Debt Markets Department Head	Filipino	204-107-653	107 Cadiz, Las Villas de Valle Verde, Kaimito St. Valle Verde 2, Pasig City
Alexis K. Javelosa, Jr.	Vice President / Compliance Div. Head Chief Compliance and Data Protection Officer	Filipino	161-157-302	Unit 6, No. 58, McKinley Road, Kasiyahan Homes, Forbes Park, Makati

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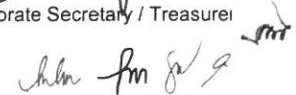
FIRST METRO INVESTMENT CORPORATION
LIST OF PRINCIPAL OFFICERS
AS OF JUNE 30, 2020

<u>N.A.M.E</u>	<u>P.O.S.I.T.I.O.N</u>	<u>N.A.T.I.O.N.A.L.I.T.Y</u>	<u>T.I.N</u>	<u>A.D.D.R.E.S.S</u>
Ina B. Pacheco	Vice President / Retail Investors Department Head	Filipino	107-973-495	477 A. Mabini St., Caloocan City
John Wesley M. Peralta	Vice President / Debt Capital Market Division Head	Filipino	912-366-130	30 Garnet St., Greenheights Village, Paranaque City
Mauro B. Placente	Vice President / Deputy Controller	Filipino	123-192-044	B4 L30 Teakwood St., Cottonwood Heights Subd., Bayugo-Buliran Road Antipolo City
Eric M. Salazar	Vice President / Technology Management Division Head	Filipino	151-036-644	8217 Acacia St., Phase IV, Marcelo Green Village, Paranaque City
Luis Martin E. Villalon	Vice President / Coverage and Origination I Division Head	Filipino	199-232-015	9 Cruzada St., Urdaneta Village, Makati City
Alesandra T. Ty	Corporate Secretary / Treasurer	Filipino	219-493-622	16 Solar St. corner Stella St., Bel Air 3, Makati City

Certified Correct:


ALESANDRA T. TY

Corporate Secretary / Treasurer





FIRST METRO INVESTMENT CORPORATION
LIST OF STOCKHOLDERS
AS OF JUNE 30, 2020

NAME OF STOCKHOLDER	OUTSTANDING SHARES	% OF HOLDINGS
<u>TOP 20 STOCKHOLDERS</u>		
1. METROPOLITAN BANK & TRUST CO.	369,736,960	99.2670%
2. CUAYCONG, JOSE G.	85,320	0.0229%
3. PER, APOLINARIO O.	60,800	0.0163%
4. YU, JUAN G. &/OR GRACE C. YU	57,350	0.0154%
5. DUDAN, LEA LEE	49,500	0.0133%
6. YU, JOHN PETER C. &/OR JUAN G.	38,800	0.0104%
7. ASILO DE SAN VICENTE DE PAUL	38,080	0.0102%
8. YU, JUAN &/OR JOHN PHILIP YU	33,480	0.0090%
9. MADRIGAL, A. P. ITF	32,640	0.0088%
10. ACO, PURA LIM	30,920	0.0083%
11. CALINGO, ESPERANZA M.	30,780	0.0083%
12. RAMIREZ, TRINIDAD	26,120	0.0070%
13. MONTINOLA, ANTONIO J.	24,760	0.0066%
14. INTER-ISLANDS INSURANCE AGENCY	24,720	0.0066%
15. ARCHBISHOP JOSE MA. CUENCO FOU	24,400	0.0066%
16. RODRIGUEZ, JOSE, JR.	21,200	0.0057%
17. SUAREZ, MA. ENCARNACION	21,120	0.0057%
18. TRUSTEESHIP, INC.	20,000	0.0054%
19. TUASON, MARIA LOURDES	19,240	0.0052%
20. KING, JUDY T.	17,330	0.0047%

STOCKHOLDINGS OF DIRECTORS

1. Sebastian, Francisco C.	110
2. Caparas, Mary Mylene A.	100
3. Dumlao, Jose Patricio A.	100
4. Dy Buncio, Martin Q.	1,100
5. Cruz, Ismael G.	100
6. Naing, Joshua E.	100
7. Magsajo, Jr., Francisco S.	100
8. Lotilla, Raphael Perpetuo M.	100
9. Manotok, Rufino Luis T.	100

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FIRST METRO INVESTMENT CORPORATION
LIST OF SUBSIDIARIES/AFFILIATES
AS OF JUNE 30, 2020

<u>SUBSIDIARIES</u>	<u>PERCENT OF HOLDINGS</u>
FIRST METRO INSURANCE BROKERS CORPORATION	100.000%
FIRST METRO SECURITIES BROKERAGE CORPORATION	100.000%
FMIC EQUITIES, INCORPORATED	100.000%
PBC CAPITAL INVESTMENT CORPORATION	100.000%
PRIMA VENTURES DEVELOPMENT CORPORATION	100.000%
RESILIENCY (SPC), INC.	100.000%
SBC PROPERTIES, INCORPORATED	100.000%
FIRST METRO SAVE AND LEARN DOLLAR BOND FUND, INC.	94.088%
FIRST METRO ASSET MANAGEMENT, INC.	70.000%
FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.	34.526%
FIRST METRO SAVE AND LEARN FIXED INCOME FUND, INC.	25.954%
FIRST METRO SAVE AND LEARN EQUITY FUND, INC.	23.278%
FIRST METRO SAVE AND LEARN BALANCED FUND, INC.	22.558%
FIRST METRO SAVE AND LEARN MONEY MARKET FUND, INC.	1.155%
 <u>AFFILIATES</u>	
CATHAY INTERNATIONAL RESOURCES CORPORATION	34.740%
PHILIPPINE AXA LIFE INSURANCE CORPORATION	28.180%
DAHON REALTY CORPORATION	20.000%
ORIX METRO LEASING & FINANCE CORPORATION	20.000%
SKYLAND REALTY DEVELOPMENT CORPORATION	20.000%
LEPANTO CONSOLIDATED MINING COMPANY	13.450%

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FIRST METRO INVESTMENT CORPORATION
STATEMENT OF FINANCIAL POSITION
AS OF JUNE 30, 2020
(In Thousand Pesos)

ASSETS

Cash and Other Cash Items	P	193,534
Due from Bangko Sentral ng Pilipinas		2,012,706
GS Purchased Under Reverse Repurchase Agreements		4,794,294
Investment Securities at:		
Fair Value through Profit or Loss		1,730,140
Fair Value through Other Comprehensive Income		989,094
Loans and Receivables		1,018,450
Property and Equipment		79,378
Investments in Subsidiaries and Associates		10,754,594
Investment Properties		188,799
Deferred Tax Asset		44,081
Other Assets		742,883
		742,883
TOTAL ASSETS	P	22,547,953

LIABILITIES AND EQUITY

LIABILITIES

Bills Payable	P	7,308,796
Accounts Payable		255,525
Accrued Taxes, Interest and Other Expenses		66,569
Income Taxes Payable		1,803
Other Liabilities		306,486
		306,486
		7,939,179

EQUITY

Common Stock		4,208,692
Capital Paid in Excess of Par Value		2,065,694
Retained Earnings		11,688,675
Treasury Stock		(2,661,979)
Net Unrealized Loss on Fair Value through Other Comprehensive Income		(751,534)
Unrealized Gain Arising From Remeasurement of Retirement		9,944
Cumulative Translation Adjustment		19,707
Equity in Other Net Comprehensive Income of Subsidiaries and Associates		29,575
		29,575
		14,608,774
<u>TOTAL LIABILITIES AND EQUITY</u>	P	22,547,953

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FIRST METRO INVESTMENT CORPORATION
STATEMENT OF INCOME
FOR THE PERIOD ENDED JUNE 30, 2020
(In Thousand Pesos)

Income from Financial Markets Group	P	305,046
Fee Income from Investment Banking Group		27,370
Other Income		<u>19,232</u>
Gross Operating Income		351,648
Less: Interest Expense		<u>106,701</u>
Gross Operating Income before Operating Expenses		<u>244,947</u>
Operating Expenses		
Compensation and Fringe Benefits		188,052
Depreciation and Amortization Expense		28,900
Professional Fees		17,936
Supervision Fees		5,621
Rent Expenses		3,228
Representation & Travelling Expenses		2,596
Other Expenses		<u>65,129</u>
Total		<u>311,462</u>
Net Loss before Taxes & Licenses		(66,515)
Taxes & Licenses		<u>52,555</u>
Net Loss before Non-Operating Income		(119,070)
Non-Operating Income		<u>32,638</u>
Net Loss before Provision for Income Tax		(86,432)
Provision for Income Tax		<u>8,903</u>
NET LOSS	P	<u><u>(95,335)</u></u>

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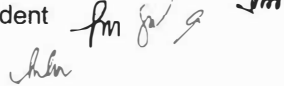
I hereby certify that all the information set forth in this report are true and correct to the best of my knowledge.



PADumlao eSignature 7/29/20

JOSE PATRICIO A. DUMLAO

President



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REPUBLIC OF THE PHILIPPINES)
City Of Makati) S.S.

SUBSCRIBED AND SWORN to before me this _____ day of _____ 20____,
affiant exhibiting to me his Driver License No. N02-83-000146.

NOTARY PUBLIC

Doc. No. _____
Page No. _____
Book No. _____
Series of _____